

J1025 U.S. PTO  
12/27/01

1-2-02

A  
+  
12/27/01  
10/033649 ProPlease type a plus sign (+) inside this box → 

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. 3364P061
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor or Application Identifier Dae-Sik Kim
Title LSF QUANTIZER FOR WIDEBAND SPEECH CODER - UTILITY		Express Mail Label No. EL651821908US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO:
		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages 12 (preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) Total Sheets 1</p> <p>5. Oath or Declaration Total Pages 4           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p>		
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CFR)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p>		
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input checked="" type="checkbox"/> Other: priority request; formal drawings transmittal</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

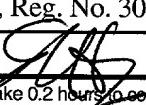
Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number of Bar Code Label		*08791*	<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP		
Address	12400 Wilshire Boulevard, Seventh Floor		
City	Los Angeles	State	California
Country	U.S.A.	Telephone	(310) 207-3800
Zip Code	90025		
Fax	(310) 820-5988		

Name (Print/Type) Eric S. Hyman, Reg. No. 30,139

Signature 

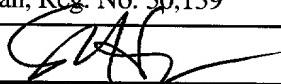
Date 12/27/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>	
<b>for FY 2002</b>		Application Number	
<i>Petent fees are subject to annual revision.</i>		Filing Date	
		First Named Inventor	Dae-Sik Kim, et al.
		Examiner Name	
		Group Art Unit	
		Attorney Docket Number	3364P061
<b>TOTAL AMOUNT OF PAYMENT</b> (\$)		410.00	

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. <b>ADDITIONAL FEE</b>	
Deposit Account Number <span style="border: 1px solid black; padding: 2px;">02-2666</span>		Large Entity Fee Code	Small Entity Fee Code
Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Blakely, Sokoloff, Taylor &amp; Zafman LLP</span>		Fee (\$)	Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17		Fee Description	Fee Paid
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
2. <input checked="" type="checkbox"/> Payment Enclosed:		105 130 205 65 Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
<b>FEE CALCULATION</b>		139 130 139 130 Non-English specification	
1. <b>FILING FEE</b>		147 2,520 147 2,520 For filing a request for ex parte reexamination	
Large Entity Fee		112 920 112 920 Requesting publication of SIR prior to Examiner action	
Fee Code	Fee (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	<span style="border: 1px solid black; padding: 2px;">\$370</span>
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
1,840*		115 110 215 55 Extension for response within first month	
1,840*		116 400 216 200 Extension for response within second month	
1,840*		117 920 217 460 Extension for response within third month	
1,840*		118 1,440 218 720 Extension for response within fourth month	
1,840*		128 1,960 228 980 Extension for response within fifth month	
1,840*		119 320 219 160 Notice of Appeal	
1,840*		120 320 220 160 Filing a brief in support of an appeal	
1,840*		121 280 221 140 Request for oral hearing	
1,840*		138 1,510 138 1,510 Petition to institute a public use proceeding	
1,840*		140 110 240 55 Petition to revive - unavoidably	
1,840*		141 1,280 241 640 Petition to revive - unintentionally	
1,840*		142 1,280 242 640 Utility issue fee (or reissue)	
1,840*		143 460 243 230 Design issue fee	
1,840*		144 620 244 310 Plant issue fee	
1,840*		122 130 122 130 Petitions to the Commissioner	
1,840*		123 50 123 50 Petitions related to provisional applications	
1,840*		126 180 126 180 Submission of Information Disclosure Stmt	
1,840*		581 40 581 40 Recording each patent assignment per property (times number of properties)	40
1,840*		146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
1,840*		149 740 249 370 For each additional invention to be examined (37 CFR 1.129(b))	
1,840*		179 740 279 370 Request for Continued Examination (RCE)	
1,840*		169 900 169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*or number of previously paid, if greater; For Reissues, see above		<b>SUBTOTAL (3) (\$)</b>	40.00

<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139		Reg. Number
Signature		Date <span style="border: 1px solid black; padding: 2px;">rh/10/</span>	Deposit Account User ID <span style="border: 1px solid black; padding: 2px;">02-2666</span>

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DAE-SIK KIM, ET AL.

Art Group:

Application No.:

Examiner:

Filed:

For: **LSF QUANTIZER FOR WIDEBAND  
SPEECH CODER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 1 sheet including 1 Figure. Applicant hereby authorizes any additional extension or petition fees under 37 C.F.R. §1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: (2/27/09)

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800